

MEMO ENDORSED

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

----- X
ANGEL GARCIA,

Plaintiff,

-against-

CO PALMENTERI, CAPTAIN CHARLES, AND THE
CITY OF NY,

Defendants.
----- X

**STIPULATION OF
CONFIDENTIALITY
AND PROTECTIVE
ORDER**

19 Civ. 7441 (KPF)

WHEREAS, Defendants City of New York, Charles Palmenteri,¹ and Tremaine Charles,² (hereinafter, “Defendants”), intend to produce certain documents and information pursuant to Rules 26, 33 and 34 of the Federal Rules of Civil Procedure, and Local Civil Rule 33.2, that Defendants deem or may deem to be confidential, private, subject to an applicable privilege, or otherwise inappropriate for public disclosure;

WHEREAS, Defendants object to the production of those documents unless appropriate protection for their confidentiality is assured;

WHEREAS, good cause exists for the entry of an order pursuant to Rule 26(c) of the Federal Rules of Civil Procedure;

NOW, THEREFORE, IT IS HEREBY STIPULATED AND AGREED, by and between Plaintiff *pro se* and the attorney for Defendants that documents and information

¹ Being sued herein as “CO Palmenteri”.

² Being sued herein as “Captain Charles”.

shall be provided in accordance with the terms of this Stipulation of Confidentiality and Protective Order as follows:

1. As used herein, this “Action” shall mean the pending action between Plaintiff and Defendants captioned Angel Garcia v. CO Palmenteri, et al., 19 Civ. 7441 (KPF).

2. As used herein, without waiving the right to later interpose objections concerning production of these documents, “Confidential Materials” shall mean:

- (A) New York City Department of Correction (“DOC”) employment/ personnel related records and information;
- (B) Disciplinary related records and information, and file of investigations conducted by the Department of Investigation (“DOI”), DOC Investigation Division (“ID”), or other agencies regarding the conduct of Members of the Service of DOC;
- (C) DOC video surveillance footage;
- (D) DOC training materials, including, but not limited to, Directives, Operations Orders, Command Level Orders, training manuals, and/ or lesson plans;
- (E) ID Investigation Files;
- (F) Full names and contact information and any other personal identifying information of non-party individuals and/or witnesses;
- (G) Any other documents produced as part of Monell discovery;
- (H) Plaintiff’s medical records; and
- (I) Other documents and information that may in good faith, during the pendency of this litigation, be designated “Confidential Materials” by the Defendants or the Court.

3. The documents and information as defined in Paragraph 2 shall not be deemed “Confidential Materials” to the extent, and only to the extent, that they are: (a) obtained by Plaintiff from sources other than Defendants, or (b) are otherwise publicly available.

4. Defendants reserve the right to designate any document confidential pursuant to this agreement if necessary, after production of such documents to Plaintiff.

5. Any documents produced by a non-party pursuant to a subpoena or letter in this Action and that are designated as Confidential Materials by Defendants shall be governed by the terms of this Stipulation of Confidentiality and Protective Order.

6. Defendants shall have a reasonable time to inspect and designate as “Confidential Materials” documents sought from third parties.

7. Confidential Materials may not be used for any purpose other than for the preparation, settlement, or presentation of this action.

8. Defendants shall designate in good faith particular documents “Confidential Materials” by labeling such documents “Confidential” and/or by designating such documents by bates number in a writing directed to Plaintiff *pro se*.

9. Inadvertent production of any document or information which is privileged, confidential, and/or was prepared in anticipation of litigation, is otherwise immune from discovery, shall not constitute a waiver of any privilege or confidentiality or of another ground for objecting to discovery with respect to that document, or its subject matter, or the information contained therein, or of the disclosing party’s right to object to the use of any such document or the information contained therein during any proceeding in this litigation or otherwise.

10. Notwithstanding the provisions of this Stipulation of Confidentiality and Protective Order, if Plaintiff or anyone on Plaintiff's behalf ("Plaintiff or Plaintiff's Representatives") makes public representations, the substance of which concerns or is contained in the Confidential Materials, Defendants may move the Court, on an expedited basis, for relief.

11. If Plaintiff objects to the designation of particular documents or information as "Confidential Materials," Plaintiff shall state such objection in writing to the Defendants, and the parties shall endeavor in good faith to resolve such an objection. If such an objection cannot be resolved among the parties, then, within thirty (30) days of receiving the response to the objection to the material's classification as confidential, Plaintiff shall seek judicial intervention to ask that the Court remove the designation. Any such materials or information shall remain confidential until the parties resolve the objection or there is a resolution of the designation by the Court.

12. Plaintiff shall not disclose the Confidential Materials to any person other than a party or the attorney of record for Defendants, except under the following conditions:

- a. Disclosure may be made only if necessary, to the preparation or presentation of plaintiff's case in this Action, to those individuals described in the subparagraph (b) below.
- b. Disclosure before trial may be made only to a party, to an expert who has been retained or specially employed by Plaintiff in anticipation of litigation or preparation for this Action, to a witness and court reporter at deposition, or to the Court.
- c. Before any disclosure is made to a person listed in subparagraphs (a) and (b) above (other than to the Court), Plaintiff shall provide each such person with a

copy of this Stipulation of Confidentiality and Protective Order for review, and such person shall consent in writing, in the form annexed hereto as Exhibit A, not to use the Confidential Materials for any purpose other than in connection with the prosecution or settlement of this Action and not to further disclose the Confidential Materials except in testimony taken in this Action. Plaintiff shall retain the signed consent form and furnish a copy to defendants' attorney upon request, although the name of an expert that Plaintiff does not intend to call as a trial witness may be redacted from such consent form before it is produced.

13. Deposition exhibits and testimony concerning any Confidential Materials which reveals the contents of such materials shall be deemed confidential. Any party may designate the deposition exhibits and portions of deposition testimony as Confidential either by: (a) indicating on the record during the deposition that questions relate to Confidential Materials, and can request that the court reporter/stenographer to bind the transcript of the designated testimony in a separate volume and with a cover page prominently marked "Confidential Information Governed by Stipulation of Confidentiality and Protective Order;" or (b) notifying the court reporter/stenographer and all attorneys of record or *pro se* Plaintiff, in writing, within forty-five (45) days after a deposition transcript has been received of the specific pages and lines of the transcript that are to be designated "Confidential," in which event the parties receiving the transcript will be responsible for marking the copies of the designated transcript in their possession or under their control as directed by the respective designating parties. Failure to make such designation does not constitute a waiver of confidentiality.

14. If any paper which incorporates any Confidential Materials or reveals the contents thereof is filed in this Court, those portions of the papers shall be filed under seal, in accordance with the rules of the District Court in which the Matter is filed and/or the Individual Rules of the judge to whom the papers are directed.

15. However, where the confidential information is not material to issues addressed in court submissions and the parties agree in writing that the redaction of personal, confidential and/or identifying information would be sufficient to protect the interests of parties or non-parties, plaintiff may file redacted documents without further order of the Court.

16. In addition, where reasonable advance notice is given by Plaintiff and the parties agree in writing to the use of confidential information in support of a motion for summary judgment or any other dispositive motion by Plaintiff or at a trial on the merits in this matter, such information will not be subjected to the instant Stipulation of Confidentiality and Protective Order. In the event that the Confidential Materials may be disclosed at a hearing or at trial, the Court may impose appropriate safeguards for the presentation of such Confidential Materials.

17. Within thirty (30) days after the termination of this Action, including any appeals, the "Confidential Materials," including all copies, notes, and other materials containing or referring to information derived therefrom (other than the Court's copies of such materials), shall be returned to Defendants' attorneys or, upon Defendants' attorneys' consent, destroyed; except that, to the extent Plaintiff retains future counsel, that such counsel shall retain one copy of the Confidential Materials, and any Confidential Materials containing Plaintiff's attorney work product, to the extent required to comply with applicable law or regulation, so long as appropriate and reasonable safeguards (at least as stringent as those used to protect Plaintiff's own information of like nature) are imposed to prevent the use of the Confidential Materials for

any other purpose. Confidential Materials which were uploaded to a database or review platform, including any backups, and which cannot reasonably be deleted, must be quarantined and secured to prohibit access to the Confidential Materials.

18. Nothing in this Stipulation of Confidentiality and Protective Order shall be construed to limit Defendants' use of their own Confidential Materials in any manner.

19. This Stipulation of Confidentiality and Protective Order will survive the termination of the litigation and will continue to be binding upon all persons to whom Confidential Materials are produced or disclosed. All documents or information that have been deemed confidential pursuant to this order, including all copies and non-conforming copies thereof, shall remain confidential for all time. Once the Matter has been resolved, including all appeals, the Confidential Materials, including all conforming and non-conforming copies thereof, shall not be used by Plaintiff, or anyone receiving confidential documents pursuant to paragraph 12 herein, for any purpose without prior Court approval.

20. This Stipulation of Confidentiality and Protective Order shall be binding upon the parties immediately upon signature and shall be submitted to the Court for entry as an Order.

21. The terms of this Stipulation of Confidentiality and Protective Order shall be binding upon all current and future parties to this action and their counsel.

22. This Stipulation of Confidentiality and Protective Order may be executed in counterparts, and when each party has signed and delivered at least one such counterpart, each counterpart shall be deemed an original, and, when taken together with other signed counterparts, shall constitute one Stipulation of Confidentiality and Protective Order, which shall be binding upon and effective as to all Parties.

23. The Court will retain jurisdiction over all persons subject to this Stipulation of Confidentiality and Protective Order to the extent necessary to enforce any obligations arising hereunder or to impose sanctions for any contempt thereof.

Dated: New York, New York
SEPTEMBER 28, 2021

ANGEL GARCIA
Plaintiff Pro Se

GEORGIA M. PESTANA
Corporation Counsel of the
City of New York
*Attorney for Defendants City,
Palmenteri, and Charles*
100 Church Street
New York, New York 10007

By: 

Angel Garcia

By: 

Corey S. Shooock, Esq.

EXHIBIT A

The undersigned hereby acknowledges that s/he has read the Stipulation of Confidentiality and Protective Order dated _____ 2021, entered into the Matter entitled Angel Garcia v. CO Palmenteri, et al., 19 Civ. 7441 (KPF), understands the terms thereof. The undersigned agrees not to use the Confidential Materials defined therein for any purpose other than in connection with the prosecution of this case and will not further disclose the Confidential Materials except in testimony taken in this case.

Date: _____

Signature: _____

Print Name: _____

Occupation: _____

EXHIBIT A

The undersigned hereby acknowledges that s/he has read the Stipulation of Confidentiality and Protective Order dated _____ 2021, entered into the Matter entitled Angel Garcia v. CO Palmenteri, et al., 19 Civ. 7441 (KPF), understands the terms thereof. The undersigned agrees not to use the Confidential Materials defined therein for any purpose other than in connection with the prosecution of this case and will not further disclose the Confidential Materials except in testimony taken in this case.

Date:

September 16, 2021

Signature:



Print Name:

ANGE | GARCIA

Occupation:

The Court is in receipt of the parties' stipulation of confidentiality and protective order. This confidentiality agreement does not bind the Court or any of its personnel. The Court will retain jurisdiction over the terms and conditions of this agreement only for the pendency of this litigation. Any party wishing to make redacted or sealed submissions shall comply with Rule 9 of the Court's Individual Rules of Civil Procedure.

Dated: September 29, 2021
New York, New York

SO ORDERED.

A handwritten signature in blue ink, reading "Katherine Polk Failla".

HON. KATHERINE POLK FAILLA
UNITED STATES DISTRICT JUDGE